

## Safety properties - statement

### *Messages are delivered in order*

The safety condition must hold for every possible sequence of inputs, so we may assume that the data in each message is distinct from the data in each other message. Or, the writing application can supply sequence numbers, which we require to be in order,

To prove:

For each pair of messages sent in each execution, if  $\text{write}(x)$  appears in an execution before  $\text{write}(y)$  then  $\text{read}(x)$  appears in the execution before  $\text{read}(y)$ .

### *Messages are delivered at most once*

Same assumption above, that all messages are either distinct or numbered distinctly.

To prove:

No two distinct read actions in an execution contain the same data value (or data id).

## Proof

Add an application Writer that writes from a queue, in order, starting at the front and going to the back, and an application Reader that reads from the SlidingWindowReceiver and puts the data read in a buffer, in the order it was received.

### **State invariant**

One state invariant suffices to prove both safety properties:

If the Writer queue equals  $\{d_1, d_2, d_3, \dots\}$ , then in every state of every execution of the composition of Reader, Writer, SlidingWindowSender, SlidingWindowReceiver, and UnreliableChannel, the following conditions hold:

- 1) the Reader buffer is a prefix of the Writer buffer, i.e., the Reader buffer is  $\{d_1, d_2, d_3, \dots, d_n\}$  for some  $n$ .
- 2)  $\text{SlidingWindowSender.LastFrameWritten}$  is equal to  $j$  in the last previous action of the form  $\text{write}(d_j)$  (or to 0 if there has been no write); and
- 3) For each  $\text{write}(d_i)$  appearing prior to any state  $s_k$  in the execution, the sequence number associated with  $d_i$  is sequence number  $i$  in all buffers in  $s_k$ , i.e.,  $s_k.\text{SlidingWindowSender.sendBuf}[i] \in \{ [0,0,0], [d_i,i,0] \}$  and  $s_k.\text{SlidingWindowReceiver.receiveBuf}[i] \in \{ [0,0,0], [d_i,i,0] \}$  and there is no entry  $[d_i, k, 0] \in \text{UnreliableChannel.inTransit}$  with  $i \neq k$ .
- 4) If  $\text{Reader.Queue} = \{d_1, d_2, d_3, \dots, d_n\}$  then  $n = \text{SlidingWindowReceiver.lastFrameRead}$ .
- 5) If  $k < \text{SlidingWindowReceiver.lastFrameRead}$ , then  $\text{read}(d_k)$  is an action in the execution

Discussion:

We use conditions 2 through 5 in the invariant so that we can establish condition 1, which in effect guarantees that messages are delivered in order and without duplicates.

Note that this doesn't say anything about whether messages are delivered!! In general, if no messages are delivered, they can't be delivered out of order.

Proof by induction on the step of the execution:

*Induction base:*

In the start state, all buffers and queues except the Writer queue are empty, so the invariant holds.

*Induction hypothesis:*

For all executions, at step  $m$  (or in every prefix of length  $m$ ), the above conditions hold in all states.

*Induction step:*

Let  $\alpha_{m+1}$  be the  $(m+1)^{\text{st}}$  action and  $s_{m+1}$  be the  $(m+1)^{\text{st}}$  state. From the induction hypothesis, we know that in state  $s_m$  the Reader buffer was  $\{d_1, d_2, d_3, \dots, d_n\}$  for some prefix of the Writer buffer. Let's consider the possibilities in state  $s_{m+1}$ , depending on the action  $\alpha_m$ :

$\text{write}(d_i)$  – need to show that conditions 2 & 3 still hold:

By the induction hypothesis, we know that `SlidingWindowSender.LastFrameWritten` is equal to  $j$  in the last  $\text{write}(d_j)$  or to 0 if there is no such write prior to step  $m$ .

Case 1. `LastFrameWritten=0`: Then  $i$  is 1, `LastFrameWritten` is 1, and the sequence number applied is 1. `SlidingWindowSender.sendBuf[1] = [d1, 1, 0]`.

Case 2. `LastFrameWritten>0`: Then  $\text{write}(d_{i-1})$  was the previous write (by our definition of the Writer) and `LastFrameWritten=i-1` (by the induction hypothesis). Thus `SlidingWindowSender.sendBuf[i] = [di, i, 0]` and `lastFrameWritten = i`.

$\text{send}([d_j, j, 0], A, B)$  – need to show that conditions 2 & 3 still hold:

The state of `SlidingWindowSender` doesn't change, so there's nothing to show. The message  $[d_j, j, 0]$  is transferred as is into `UnreliableChannel.inTransit`.

$\text{receive}([d_j, j, 0], B, A)$  – need to show that conditions 3-5 hold:

Either there's no state change in `SlidingWindowReceiver.receiveBuf` or the message  $[d_j, j, 0]$  is transferred as is into `SlidingWindowReceiver.receiveBuf[j]`.

$\text{read}(d_k)$ :

Nothing happens unless the sequence number in `receiveBuf[lastFrameRead] > 0`, in which case, before action  $\text{read}(d_k)$ , the induction hypothesis requires the following to hold:

`receiveBuf[lastFrameRead] = dlastFrameRead`

`Reader.queue = { d1, ..., dlastFrameRead }`.

The precondition implies that  $k$  be  $\text{lastFrameRead}+1$ , so that  $\text{Reader.queue}$  becomes  $\{d_1, \dots, d_{\text{lastFrameRead}+1}\}$  and  $\text{lastFrameRead}$  becomes  $\text{lastFrameRead}+1$ . This proves that 4) and 5) are still true.

$\text{duplicate}(d_k)$ :

The sequence number associated with the data stays the same, so this does not violate the induction hypothesis.

$\text{drop}(d_k)$ :

If condition 3 wasn't violated before, removing a message doesn't violate it.